

(FOR THE CANDIDATES ADMITTED  
DURING THE ACADEMIC YEAR 2022 ONLY)

(NO OF PAGES: 2)

22UCF102

REG.NO

NGM COLLEGE (AUTONOMOUS) POLLACHI

END-OF-SEMESTER EXAMINATIONS: DECEMBER- 2022

B.COM-Finance

MAXIMUM MARKS: 50

I SEMESTER

TIME: 3 HOURS

**PART - III**

**FINANCIAL MARKETS AND INSTITUTIONS**

**SECTION – A**

**(10 X 1 = 10 MARKS)**

**ANSWER THE FOLLOWING QUESTIONS.**

**(K1)**

1. The component of a capital market is \_\_\_\_\_  
(a) Treasury bill market (b) Government securities market  
(c) Commercial market (d) (a) &(b) together
2. An order for the purchase of securities at a fixed price is known as \_\_\_\_\_  
(a) Limit order (b) Open order  
(c) Discretionary order (d) Stop less order
3. A person appointed by a stock broker to assist him in the business of securities Trading is called \_\_\_\_\_  
(a) Sub-broker (b) Commission broker  
(c) Authorized clerk (d) Tarawaniwala
4. The best suited fund to the business people is \_\_\_\_\_  
(a) Income fund (b) Balanced fund  
(c) Growth fund (d) Taxation fund
5. Venture capital firms are usually organized as \_\_\_\_\_  
(a) Closed – end mutual funds (b) Limited partnerships  
(c) Corporations (d) nonprofit business

**ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES**

**(K2)**

6. Explain the Money market.
7. What do you mean by Public issues?
8. Illustrate the buybacks of Shares.
9. What is Portfolio management?
10. Define Venture Capital.

**(CONTD....2)**

**ETHICAL PAPER**

**SECTION – B (5 X 3 = 15 MARKS)**

**ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.  
(Qn. No. 11 to 15 Questions for Short Answers with internal choices) (K3)**

11. (a) Describe the Financial assets and bring out their features.  
(OR)  
(b) Examine the merits of a Treasury bill market.
12. (a) List the different methods of placing an order in the secondary market?.  
(OR)  
(b) Write an essay on the functions of New Issue Market.
13. (a) Find the procedure for online trading and bring out its merits.  
(OR)  
(b) How does SEBI protect the interest of investors?
14. (a) 'The scope for merchant banking is great in India ' Examine.  
(OR)  
(b) Outline the importance of mutual funds.
15. (a) Make suggestions for the success of venture capital in India.  
(OR)  
(b) Discuss the scope of venture capital in India.

**SECTION – C (5 X 5 = 25 MARKS)**

**ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.  
(Qn. No. 16 to 20 Questions for Long Answers with internal choices (K4 (Or) K5)**

16. (a) Discuss the role of the financial system in the economic development of the country  
(OR)  
(b) Discuss the features of a developed money market and bring out its importance.
17. (a) Summarize the various measures taken in the recent times to make the capital Market vibrant.  
(OR)  
(b) Explain the various new instruments introduced in new issue market.
18. (a) Determine in detail the SEBI guidelines for Rights share.  
(OR)  
(b) Explain in detail the features and indices of BSE.
19. (a) Evaluate the services of merchant bankers.  
(OR)  
(b) Discuss the present state of the mutual funds in India and outline the causes for their slow growth.
20. (a) Criticize the strategic role of venture capital in the development of the country?.  
(OR)  
(b) Explain the guidelines for venture capital in India.

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