

FOR THE CANDIDATES ADMITTED
DURING THE ACADEMIC YEAR 2020 ONLY)

20UBP515

REG.NO

NGM COLLEGE (AUTONOMOUS) POLLACHI

END-OF-SEMESTER EXAMINATIONS: DECEMBER-2022

B.Com-Business Process Services

MAXIMUM MARKS: 70

V SEMESTER

TIME: 3 HOURS

PART III

CAPITAL MARKETS FOR BUSINESS PROCESS SERVICES

SECTION – A

(10 X1 = 10 MARKS)

ANSWER THE FOLLOWING QUESTIONS

MULTIPLE CHOICE QUESTIONS

(K1)

1. Which of the following is not a financial derivative-----
a) Stock b) Futures c) Options d) Forward contracts
2. Which strategy is about how to compete successfully in particular markets -----
a) Business level strategy b) Corporate level strategy
c) Alliance based strategy d) Operational level strategy
3. Which of the following is a stock mutual fund-----
a) Ginnie mae fund b) Growth fund
c) Municipal securities fund d) Treasury securities funds
4. Which of the following organizations is the mutual fund market regular in India -----
a) SEBI b) RBI c) AMFI d) CIBIL
5. A risk where the probability of happening is very high , which risk management approach is suggested to be used-----
a) Avoidance b) Transfer c) Acceptance d) Exploit

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES

(K2)

6. What do you mean by securities?
7. What is meant by brokerage?
8. What is hedge fund?
9. What is private equity?
10. Why market risk is important?

SECTION – B

(5 X 4 = 20 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS. (K3)

11. a) Enumerate different types of securities.

(OR)

- b) State the purpose of OTC Markets.

(CONTD 2)

12. a) What are the functions of Investment banking?
(OR)
b) Why is collateral management important?
13. a) Who are the biggest transfer agents?
(OR)
b) State the strategies of hedge funds.
14. a) Write the importance of fund accounting.
(OR)
b) Mention the objectives of performance reporting.
15. a) What are the types of counter party risk?
(OR)
b) Explain Counterparty credit risk is a credit risk.

SECTION – C**(4 X 10 = 40 MARKS)****ANSWER ANY FOUR OUT OF SIX QUESTIONS.****(16TH QUESTION IS COMPULSORY AND ANSWER ANY THREE QUESTIONS FROM Q.NO: 17 TO 21)****(K4) OR (K5)**

16. What are the types of private equity funds? and how does a PE Structure work?
17. What are the elements of global financial market.
18. Point out the importance of Trade life cycle.
19. Explain the functions of mutual fund transactions.
20. Write a note on reconciliation is an asset management finance and its functions.
21. Discuss in detail the objectives and types of market risk management.

A-11
