(FOR THE CANDIDATES ADMITTED **23UBP513**

DURING THE ACADEMIC YEAR 2023 ONLY) **REG.NO. :**

N.G.M.COLLEGE (AUTONOMOUS): POLLACHI

END-OF-SEMESTER EXAMINATIONS: NOVEMBER-2025

B.COM (BPS)-SF **MAXIMUM MARKS: 75**

SEMESTER: V **TIME : 3 HOURS**

PART - III

23UBP513 – INVESTMENT MANAGEMENT

SECTION – A (10 X 1 = 10 MARKS)

ANSWER THE FOLLOWING QUESTIONS. (K1)

1. Investment is the __________.
   a) Net additions made to the nation's capital stock
   b) Person's commitment to buy a flat
   c) Employment of funds on assets to earn return
   d) Employment of funds on goods and services that are used in production processes
2. The component of a capital market is __________.
   a) Treasury bill market b) Government securities market
   c) Commercial bill market d) Money market
3. The purpose of technical analysis is to ___________.
   a) Take "buy or hold or sell" decisions b) Determine the intrinsic value of shares
   c) Estimate the performance of the company d) Sell shares
4. The value of the bond depends on ___________.
   a) Coupon rate, yield to maturity and expected yield of maturity
   b) Face value and treasury bills
   c) The market rate which gives the value of the bond
   d) Short – term bonds
5. An investor invests in assets known as a ___________.
   a) Securities b) Block of assets
   c) Securities market d) Portfolio

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES (K2)

6. What is investment?
7. Define SEBI.
8. What is the use of technical analysis?

(CONTD …. 2)

Ethical paper

9. Define Share.

10. Expand CAPM.

SECTION – B (5 X 5 = 25 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.(K3)

11. a) Describe the nature and scope of investment.

(OR)

b) Assess the importance of Investment.

12. a) List the objectives of SEBI.

(OR)

b) Compare New issue market and Stock exchange. Is their role complimentary or competitive?

13. a) Describe the factors involved in Company analysis.

(OR)

b) "Technical analysis is based on Dow Jones Theory" – Assess & Elucidate.

14. a) "Equity shares are a good investment." Assess & Discuss

(OR)

b) List the different types of Preference shares.

15. a) Describe Portfolio management process.

(OR)

b) Examine the elements of Portfolio Management.

SECTION – C (5 X 8 = 40 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.
(K4 (Or) K5)

16. a) Discuss the factors influencing investment.

(OR)

b) Analyse the investment process in brief.

17. a). Summarize the role and regulations of SEBI.

(OR)

b) Outline the different kinds of trading activities that take place in a stock exchange.

18. a) Discuss how Fundamental analysis is useful to a prospective investor.

(OR)

b) Summarize the factors involved in Industry analysis.

19. a) Discuss the different types of bonds.

(OR)

b) Outline how does a mutual fund work. Also explain the classifications of Mutual funds.

20. a) Analyse the assumptions and limitations of the CAPM Model.

(OR)

b) Discuss Markowitz model and explain its assumptions and limitations.

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