

(FOR THE CANDIDATES ADMITTED FOR THE
YEAR 2024 BATCH ONLY)

(NO.OF.PAGES:1)

24UCC4S1

REG NO

NGM COLLEGE (AUTONOMOUS), POLLACHI
END OF SEMESTER EXAMINATION: APRIL 2026

CLASS: II - B.Com (CA)
SEMESTER: III

MAXIMUM MARKS: 50
TIME : 2 Hours

NAAN MUDHALVAN : SECURITIES MARKET

SECTION – A

(10 X 1 = 10 MARKS)

CHOOSE THE MOST APPROPRIATE ANSWER

(K1)

1. Who regulates the securities market in India?
a) RBI b) SEBI c) NABARD d) IRDA
2. Which system replaced physical share certificates?
a) Manual trading b) Dematerialization c) Paper trading d) Open outcry
3. Which of the following is a debt instrument?
a) Equity shares b) Bonds c) Mutual funds d) Derivatives
4. The primary market deals with:_____.
a) Old securities b) New securities c) Foreign exchange d) Commodities
5. Which of the following is a stock exchange in India?
a) RBI b) SEBI c) NSE d) NABARD

ANSWER THE FOLLOWING IN ONE OR TWO SENTENCES.

(K2)

6. List the key participants in the securities market.
7. What is Preference share?
8. Expand SEBI
9. What is an IPO?
10. What is a secondary market?

SECTION – B

(5 X 8 = 40 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS (K3)

11. a. Explain the Features of securities market.
(or)
b. Distinguish between primary market and secondary market.
12. a. Explain the role of securities markets in economic development.
(or)
b. Discuss the impact of technology on securities trading.
13. a. Explain different types of securities available in the market.
(or)
b. Discuss the importance of asset allocation and diversification in investment.
14. a. Explain the functions of the primary market.
(or)
b. Discuss the regulatory framework governing the primary market in India.
15. a. Explain the role and functions of the secondary market.
(or)
b. Describe the structure and participants of the secondary market.
