

**N.G.M.COLLEGE (AUTONOMOUS): POLLACHI**  
**END-OF-SEMESTER EXAMINATIONS: NOVEMBER 2025**  
**II B.Com. - FINANCE**  
**III SEMESTER**  
**MAXIMUM MARKS: 50**  
**TIME : 2 HOURS**

**PART - IV**  
**FINANCIAL SERVICES**

**SECTION – A**

**(10 X 1 = 10 MARKS)**

**ANSWER THE FOLLOWING QUESTIONS.**

**MULTIPLE CHOICE QUESTIONS.**

**K1**

1. Financial institutions are also known as \_\_\_\_\_.  
a) Financial organization      b) Financial intermediaries  
c) Financial system              d) all of the above.
2. Which of the following is the regulator of Money market?  
a) Reserve Bank of India              c) Government of India  
b) Telecom Regularity Authority      d) Insurance Regularity Department
3. Capital market regulator is:\_\_\_\_\_.  
a) R B I   b) SEBI      c) IRDA      d) SBI
4. Underwriting of shares by a financial intermediary is a kind of \_\_\_\_\_ activity.  
a) Fee based      b) Fund base      c) both fee and fund      d)All of these
5. Role of merchant bankers-----.  
a) Mobilization of funds   b) Promotional function   c) Innovation   d) All of these.

**ANSWER THE FOLLOWING IN ONE OR TWO SENTENCES.**

**K2**

6. What are financial assets?
7. Spell about the role of SEBI.
8. Tell about Merchant banking.
9. Define Factoring.
10. Recall the meaning of Venture capital?

**SECTION - B**

**(5 X 8= 40 MARKS)**

**ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS. K4 & K5**

11. a) Describe about Financial markets and instruments.  
**(OR)**  
b) Discuss about Financial assets and Financial intermediaries
12. a) Discover the Features of Money market.  
**(OR)**  
b) Analyze about the functions of Stock Exchanges.
13. a) Determine the Responsibilities of Merchant Bankers.  
**(OR)**  
b) Investigate about functions of factoring
14. a) Explain about Process of Mechanism of factoring.  
**(OR)**  
b) Discuss about the Characteristics of Venture capital.
15. a) Discuss about the role of Merchant bankers in Issue management.  
**(OR)**  
b) Analyze the financing pattern under Venture capital.

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