

REG.NO.

B.Com.- (CA)
SEMESTER IV

N.G.M.COLLEGE (AUTONOMOUS) : POLLACHI
END-OF-SEMESTER EXAMINATIONS: MAY-2025

MAXIMUM MARKS: 50
TIME: 2 HOURS

PART - IV
NAAN MUDHALVAN: SECURITIES MARKETS
SECTION – A

ANSWER ALL THE QUESTIONS (10X1=10 MARKS)

MULTIPLE CHOICE QUESTIONS. (K1)

1. A mutual fund that collects money from investors and invests in the market is an example of a

a. Issuer b. Intermediary c. Regulator d. Institutional Investor

2. The reserves of a company rightfully belong to _____

a. Equity shareholders b. Institutional lenders
c. Promoters d. Employees

3. What is the primary function of a stock exchange.

a. To facilitate trading of securities b. To issue new securities
c. To provide investment advice d. To regulate the market

4. Which of the following is a type of security

a. Bond b. Share c. Debenture d. All of the above

5. Who regulates the secondary market in India.

a. RBI b. Ministry of Finance c. SEBI d. IRDAI

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES. (K2)

6. Define Securities Market.

7. List out the types of security.

8. Expand SEBI

9. Define Primary market.

10. State any two functions of the secondary market.

SECTION-B

(5 X 8 = 40 MARKS)

ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.

11. a) Explain the structure of the securities market. (K4)

(OR)

b) Discuss the role of various participants. (K4)

12. a) Explain the various securities available in the securities market. (K4)

(OR)

b) Describe the primary market and its function. (K4)

13. a) Explain the Nature of the Primary Market. (K4)

(OR)

b) Explain the regulatory framework of primary markets. (K4)

14. a) Explain the role of secondary market. (K4)

(OR)

b) Explain the Market Structure and Participants in the Secondary Market. (K4)

15. a) Describe the various function of secondary markets. (K4)

(OR)

b) Discuss the Recent Developments in Securities Market India. (K5)
