

REG.NO.

B.Com.- (CA)
SEMESTER VI

N.G.M.COLLEGE (AUTONOMOUS) : POLLACHI
END-OF-SEMESTER EXAMINATIONS: MAY-2025

MAXIMUM MARKS: 50
TIME: 2 HOURS

PART-IV
22UCC6S1– NAAN MUDHALVAN: SECURITIES MARKETS

SECTION – A **(10X1=10 MARKS)**

ANSWER ALL THE QUESTIONS.

MULTIPLE CHOICE QUESTIONS.

(K1)

1. A mutual fund that collects money from investors and invests in the market is an example of a _____.
a. Issuer b. Intermediary c. Regulator d. Institutional Investor
2. Which of the following is ranked last both in terms of profit sharing and receiving liquidation proceeds?
a. Lenders b. Employees
c. Government Creditors d. Equity Shareholders
3. The reserves of a company rightfully belong to _____
a. Equity Shareholders b. Institutional lenders
c. Promoters d. Employees
4. Market capitalization of a stock is impacted by _____
a. Changes in market index b. Changes in price of the stock
c. Level of trading volumes d. Liquidity in the market
5. Who regulates the secondary market in India?
a. RBI b. Ministry of Finance c. SEBI d. IRDAI

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES .

(K2)

6. Define Securities Market.
7. List out the functions of RBI
8. Expand SEBI
9. Define secondary market.
10. Define mutual fund

SECTION-B **(5 X 8 = 40 MARKS)**

ANSWER ANY FIVE QUESTIONS OUT OF THE EIGHT QUESTIONS. **(K3)**

11. a) Explain the features of securities market.
(OR)
b) Explain structure and participants in securities markets.
12. a) List out the intermediaries of securities markets.
(OR)
b) Discuss the Recent Developments in Securities Market India.
13. a) Short on SEBI .
(OR)
b) Explain benefits and risk of investing in debt securities .
14. a) Describe the primary market and its function.
(OR)
b) Explain the role of secondary market.
- 15.a) Explain the regulatory framework of primary markets.
(OR)
b) . Describe the various functions of secondary markets.
