

(FOR THE CANDIDATES ADMITTED

DURING THE ACADEMIC YEAR 2021 - 2024 ONLY)

(NO. OF PAGES: 2)

SUB CODE: 21 UBP 515

REG.NO. :

N.G.M. COLLEGE (AUTONOMOUS): POLLACHI

END-OF-SEMESTER EXAMINATIONS: NOVEMBER-2023

B. Com B.P.S

MAXIMUM MARKS: 70

SEMESTER: V

TIME: 3 HOURS

PART - III

21UBP515-CAPTIAL MARKET FOR BUSINESS PROCESS SERVICES

SECTION - A

(10 X 1 = 10 MARKS)

ANSWER THE FOLLOWING QUESTIONS.

MULTIPLE CHOICE QUESTIONS.

(K1)

1. The certificate which evidences an unsecured corporate debt of short-term maturity, is known as _____

(a) Certificate of Deposit (b) Short-term loan certificate (c) Treasury Bill (d) Commercial Paper

2. Investment banks also advise firms on mergers and _____

(a) mixes (b) flotation (c) acquisitions (d) transfers

3. The _____ nets off the trades of the buyer and seller brokers.

(a) clearing house (b) capital (c) financial (d) fund market

4. The type of _____ that a firm may undertake will vary on the internal system.

(a) risk (b) service (c) position (d) reconciliation

5. Risk Management is one of the most important job for a _____

(a) Client (b) Investor (c) Production Team (d) Project Manager

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES (K2)

6. Recall OTC

7. What is Premium brokerage?

8. Why Hedge funds?

9. Sketch out private equity

10. What is Risk Management?

(CONTD 2)

SECTION – B**(5 X 4 = 20 MARKS)****ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS. (K3)**

11. (a) Examine the importance of ratings and bring out their features.

(OR)

(b) Explain the various types of securities Bonds and interest rates in capital market.

12. (a) Describe the stages of Trade life cycle.

(OR)

(b) Write a note on Collateral Management

13. (a) Explain various types in Mutual Funds?

(OR)

(b) Describe the purpose transfer agency

14. (a) Sketch out Private Equity Operations

(OR)

(b) Interpret Performance Reporting

15. (a) Outline the Counterparty credit risk management

(OR)

(b) Categorize Market Risk Management

SECTION - C**(4 X 10 = 40 MARKS)****ANSWER ANY FOUR OUT OF SIX QUESTIONS****(16th QUESTION IS COMPULSORY AND ANSWER ANY THREE QUESTIONS
(FROM Qn. No : 17 to 21) (K4 (Or) K5)**

16. Summarize the important participants in a Trade?

17. Determine the categories of securities that operate in financial markets?

18. Discuss the function of Investment Banking in detail?

19. Justify Hedge funds strategy with examples?

20. How to calculate NAV of mutual funds?

21. Elucidate the types of Credit Risk Management
