

**N.G.M.COLLEGE (AUTONOMOUS) : POLLACHI****END-OF-SEMESTER EXAMINATIONS : MAY – 2023****B.Com. E.COMMERCE****MAXIMUM MARKS: 70****IV SEMESTER****TIME : 3 HOURS****PART – III****FINANCIAL MARKETS AND INSTITUTIONS****SECTION – A (10 X 1 = 10 MARKS)****ANSWER THE FOLLOWING QUESTIONS. (K1)****MULTIPLE CHOICE QUESTIONS**

1. The components of a capital market is: \_\_\_\_\_.
  - a) Treasury bill market
  - b) Government securities market
  - c) Commercial bill market
  - d) A and B together.
2. Rights shares are offered to ----- shareholders.
  - a) New
  - b) Intermediaries
  - c) Existing
  - d) None of these
3. A Person appointed by a stock broker to assist him in the business of securities trading is called -----.
  - a) Sub- broker
  - b) Commission broker
  - c) Authorised clerk
  - d) Tarawaniwala
4. The market for short-term loans is known as: \_\_\_\_\_.
  - a) Call money market
  - b) Treasury bill market
  - c) Money market
  - d) Acceptance market
5. Ratings are expressed in \_\_\_\_\_.
  - a) Letters
  - b) Symbols
  - c) Code numbers
  - d) None

**ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES:****(K2)**

6. What is a capital Market?
7. What is origination?
8. Define jobber.

9. What is a Commercial Paper?
10. Define Credit Rating.

**SECTION – B****(5X4=20 MARKS)****ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS.**

11. a) What are financial instruments? What are their Characteristics features?  
[OR]  
b) Write a short note on weakness of Indian financial System?
12. a) Distinguish between new issue market and stock market.  
[OR]  
b) Discuss the role of different players in the new issue market.
13. a) Explain the function/ services of stock exchanges.  
[OR]  
b) Write a short note on functions of brokers?
14. a) Bring out the importance of a money market.  
[OR]  
b) What are the merits of a Treasury bill market?
15. a) Discuss the SEBI guidelines for merchant banking.  
[OR]  
b) Explain the various functions of credit Rating.

**SECTION – C****(4X10= 40 MARKS)****ANSWERS ANY FOUR OUT OF SIX QUESTIONS.****(16 TH QUESTIONS IS COMPULSORY AND ANSWER ANY THREE QUESTIONS  
(FROM Qn.No: 17 to 21)**

16. Classify financial Markets and bring out their features of each market.
17. Trace out the development of the financial system in India.
18. Write an essay on the functions of New Issue Market.
19. Describe in detail the method of trading in stock exchange.
20. Explain the various steps taken for recent development in Indian money market.
21. Discuss the various types of credit rating agencies in India.