

NGM COLLEGE (AUTONOMOUS) POLLACHI

END-OF-SEMESTER EXAMINATIONS: MAY-2023

B.Com-Banking & Insurance

MAXIMUM MARKS: 70

VI SEMESTER

TIME: 3 HOURS

PART III

FINANCIAL MARKETS AND INSTITUTIONS

SECTION – A (10 X1 = 10 MARKS)

ANSWER THE FOLLOWING QUESTIONS

(K1)

ANSWER THE FOLLOWING IN ONE (OR) TWO SENTENCES

(K2)

6. Write a short note on Factoring.
7. Distinguish between Money markets & capital markets/
8. What is buy back shares? State its merits.
9. Discuss any two schemes that can offer by Mutual funds.
10. What are the functions of NABARD?

SECTION – B (5 X 4 = 20 MARKS)**ANSWER EITHER (a) OR (b) IN EACH OF THE FOLLOWING QUESTIONS. (K3)**

11. a) Discuss the various services rendered by financial services industry.
(OR)
 b) What do you understand by the term " financial engineering"?

12. a) Who are the players in the new issues markets?. Discuss their functions.
(OR)
 b) Analyze the principal steps involved in the public issue of shares.

13. a) How does SEBI protect the interest of investors?
(OR)
 b) What are the SEBI guidelines for primary markets?

14. a) Describe the structure of Mutual funds operations in India.
(OR)
 b) Explain the various schemes offered by Mutual funds.

15. a) Describe the functions of IFCI.
(OR)
 b) Examine the role of NABARD in terms of its major activities.

SECTION – C**(4 X 10 = 40 MARKS)****ANSWER ANY FOUR OUT OF SIX QUESTIONS.****(16TH QUESTION IS COMPULSORY AND ANSWER ANY THREE QUESTIONS FROM Q.NO: 17 TO 21)****(K4) OR (K5)**

16. Explain the methodology followed by CRISIL in rating credit instruments.

17. Discuss briefly some of the innovative financial instruments introduced in recent times in the financial services sectors.

18. Explain the listing of securities. Describe the listing procedure and point out its merits and demerits?

19. Discuss the role of stock exchange in dealing with investor's complaints.

20. Examine the rights and facilities available to investors of a Mutual fund.

21. Describe briefly the Non-banking intermediaries.